

Representation or holding out of registration

100(1) A person or company shall not represent that the person or company is registered under this Act unless

(a) the representation is true, and

(b) in making the representation, the person or company specifies the person or company's category of registration under this Act and the regulations.

(2) A person or company shall not make a statement about something that a reasonable investor would consider important in deciding whether to enter into or maintain a trading or advising relationship with the person or company if the statement is untrue or omits information necessary to prevent the statement from being false or misleading in the circumstances in which it is made.