

RSA 2000 cS-4 s98;2007 c10 s14;2014 c3 s28

Use of name

99 No registrant shall use the name of another registrant unless the first-mentioned registrant is a partner, officer or agent of or is authorized to do so in writing by the other registrant.

1981 cS-6.1 s75

Representation or holding out of registration

100(1) A person or company shall not represent that the person or company is registered under this Act unless

- (a) the representation is true, and
- (b) in making the representation, the person or company specifies the person or company's category of registration under this Act and the regulations.

(2) A person or company shall not make a statement about something that a reasonable investor would consider important in deciding whether to enter into or maintain a trading or advising relationship with the person or company if the statement is untrue or omits information necessary to prevent the statement from being false or misleading in the circumstances in which it is made.

RSA 2000 cS-4 s100;2006 c30 s16

Representations

101 No person or company shall make any representation that the Commission, a member of the Commission, the Executive Director, the Secretary or any person employed by the Commission has in any manner expressed an opinion or passed judgment on

- (a) the financial standing, fitness or conduct of a registrant.